

VOLUNTARY POLICIES

A. Digitally Signed contract Notes/ Confirmations

The member shall send the contract notes, trade confirmations, bills, account statement, notice, circular, ledger confirmation, margin statement, demat account holding statement and all other communication on the designated Email Id of the client who have opted to receive contract notes in electronic form.

B. DP charges and Exchange of Information

Member shall exchange necessary information with the DP for smooth conduct/ operation of broking/ demat account. Member shall debit the clients account towards the clients outstanding with the DP.

C. Tape recording of conversation

Client is aware that member may tape record the conversation between the client and the member.

D. Pledging of clients securities

Client has permitted the member to pledge his securities in case of outstanding debit balance in the clients ledger

E. Display of policy and other information on website of Member/ Exchange/ SEBI

Client is aware that the policies/ procedures of the member and other information are regularly hosted on the website of the member and he shall ensure to keep himself updated with the same. Client also agrees to keep himself updated with the circulars hosted on the SEBI/ exchange website.

Client is also aware that Investor grievance email ID, Policy & Procedures, Copy of Standard KYC, Risk Management Policy, Literature of AML requirement, Links to website of SEBI and stock Exchanges of which Stock Broker is a member, other Information that a trading member is required to furnish to his clients is hosted on members website as well as available on demand from members office.

Signature of Client  X (17) _____